

UNITED STATES BANKRUPTCY COURT

EASTERN DISTRICT OF WISCONSIN

In re: GP Rare Earth Trading Account LLC

§
§
§
§

Case No. 19-29617

Lead Case No. 19-29613

Debtor(s)

☒ Jointly Administered

Post-confirmation Report

Chapter 11

Quarter Ending Date: 12/31/2023

Petition Date: 10/04/2019

Plan Confirmed Date: 05/19/2022

Plan Effective Date: 05/19/2022

This Post-confirmation Report relates to: ☒ Reorganized Debtor

☐ Other Authorized Party or Entity: _____

Name of Authorized Party or Entity

/s/ Annette Kaja

Signature of Responsible Party

01/19/2024

Date

Annette Kaja

Printed Name of Responsible Party

34334 Valley Road, Oconomowoc, WI 53066

Address

STATEMENT: This Periodic Report is associated with an open bankruptcy case; therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

Part 1: Summary of Post-confirmation Transfers

	Current Quarter	Total Since Effective Date
a. Total cash disbursements	\$33,566	\$1,075,211
b. Non-cash securities transferred	\$0	\$0
c. Other non-cash property transferred	\$0	\$0
d. Total transferred (a+b+c)	\$33,566	\$1,075,211

Part 2: Preconfirmation Professional Fees and Expenses

a.			Approved Current Quarter	Approved Cumulative	Paid Current Quarter	Paid Cumulative
	Professional fees & expenses (bankruptcy) incurred by or on behalf of the debtor <i>Aggregate Total</i>		\$0	\$225,353	\$0	\$215,518
	<i>Itemized Breakdown by Firm</i>					
	Firm Name	Role				
i	Steinhilber Swanson LLP	Lead Counsel	\$0	\$198,833	\$0	\$189,268
ii	Husch Blackwell LLP	Special Counsel	\$0	\$26,520	\$0	\$26,250
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xcix						
c						
ci						

b.			Approved Current Quarter	Approved Cumulative	Paid Current Quarter	Paid Cumulative
	Professional fees & expenses (nonbankruptcy) incurred by or on behalf of the debtor					
	<i>Aggregate Total</i>					
	<i>Itemized Breakdown by Firm</i>					
	Firm Name	Role				
i						
ii						
iii						
iv						
v						
vi						

	vii					
	viii					
	ix					
	x					
	xi					
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	xiii					
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	xc					

	xcii						
	xciii						
	xciv						
	xcv						
	xcvi						
	xcvii						
	xcviii						
	xcix						
	c						
	ci						
c.	All professional fees and expenses (debtor & committees)			\$0	\$0	\$0	\$8

Part 3: Recoveries of the Holders of Claims and Interests under Confirmed Plan

	Total Anticipated Payments Under Plan	Paid Current Quarter	Paid Cumulative	Allowed Claims	% Paid of Allowed Claims
a. Administrative claims	\$56,926	\$0	\$122,824	\$225,353	55%
b. Secured claims	\$0	\$0	\$0	\$0	0%
c. Priority claims	\$0	\$0	\$0	\$0	0%
d. General unsecured claims	\$115,489	\$0	\$115,489	\$115,489	100%
e. Equity interests	\$0	\$0	\$0		

Part 4: Questionnaire

a. Is this a final report? Yes ☐ No ☒

If yes, give date Final Decree was entered: _____

If no, give date when the application for Final Decree is anticipated: 03/31/2024

b. Are you current with quarterly U.S. Trustee fees as set forth under 28 U.S.C. § 1930? Yes ☒ No ☐

Privacy Act Statement

28 U.S.C. § 589b authorizes the collection of this information and provision of this information is mandatory. The United States Trustee will use this information to calculate statutory fee assessments under 28 U.S.C. § 1930(a)(6) and to otherwise evaluate whether a reorganized chapter 11 debtor is performing as anticipated under a confirmed plan. Disclosure of this information may be to a bankruptcy trustee when the information is needed to perform the trustee's duties, or to the appropriate federal, state, local, regulatory, tribal, or foreign law enforcement agency when the information indicates a violation or potential violation of law. Other disclosures may be made for routine purposes. For a discussion of the types of routine disclosures that may be made, you may consult the Executive Office for United States Trustee's systems of records notice, UST-001, "Bankruptcy Case Files and Associated Records." *See* 71 Fed. Reg. 59,818 et seq. (Oct. 11, 2006). A copy of the notice may be obtained at the following link: http://www.justice.gov/ust/eo/rules_regulations/index.htm. Failure to provide this information could result in the dismissal or conversion of your bankruptcy case, or other action by the United States Trustee. 11 U.S.C. § 1112(b)(4)(F).

I declare under penalty of perjury that the foregoing Post-confirmation Report and its attachments, if any, are true and correct and that I have been authorized to sign this report.

/s/ Annette Kaja

Signature of Responsible Party

Managing Member of Alluvium Fund

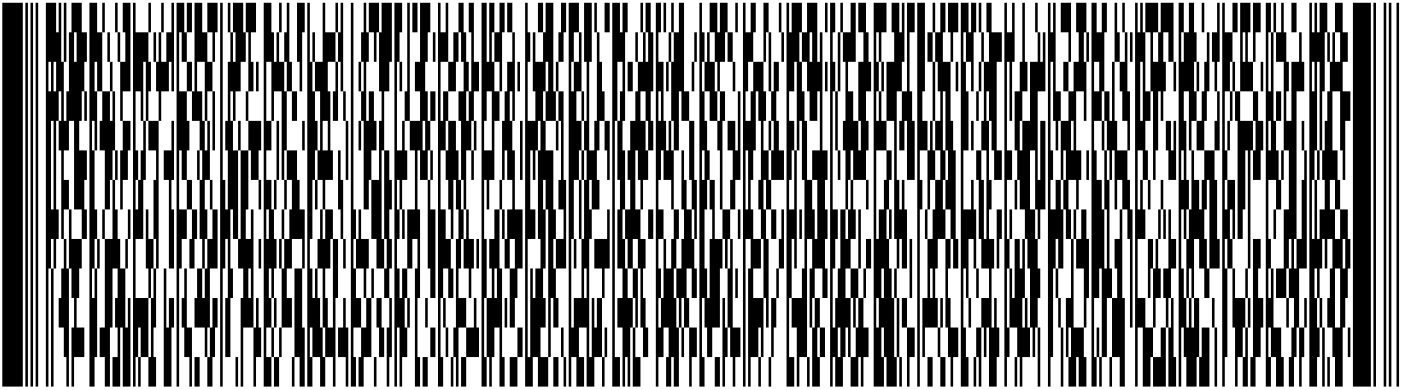
Title

Annette Kaja

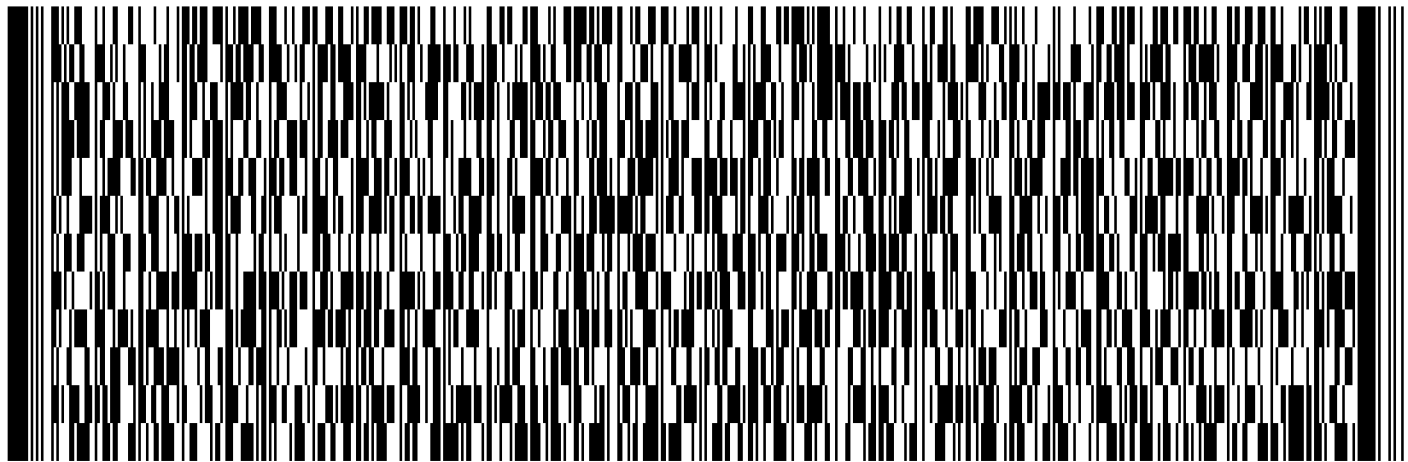
Printed Name of Responsible Party

01/19/2024

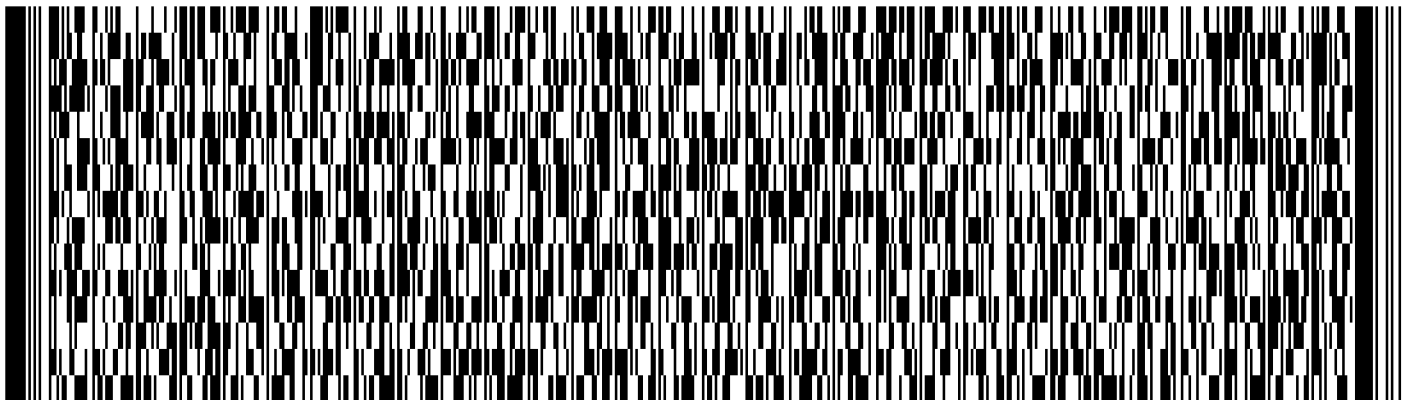
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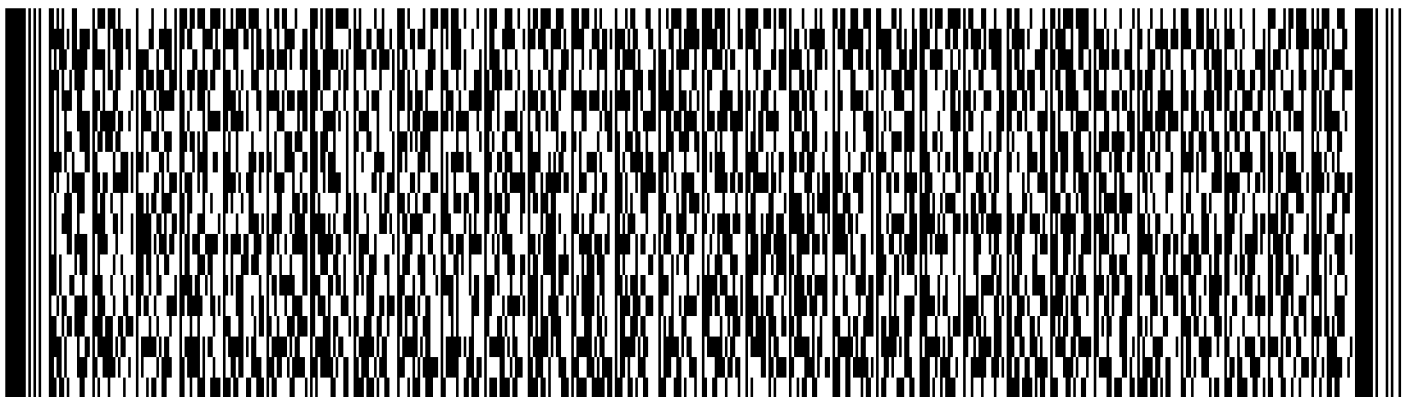
Page 1



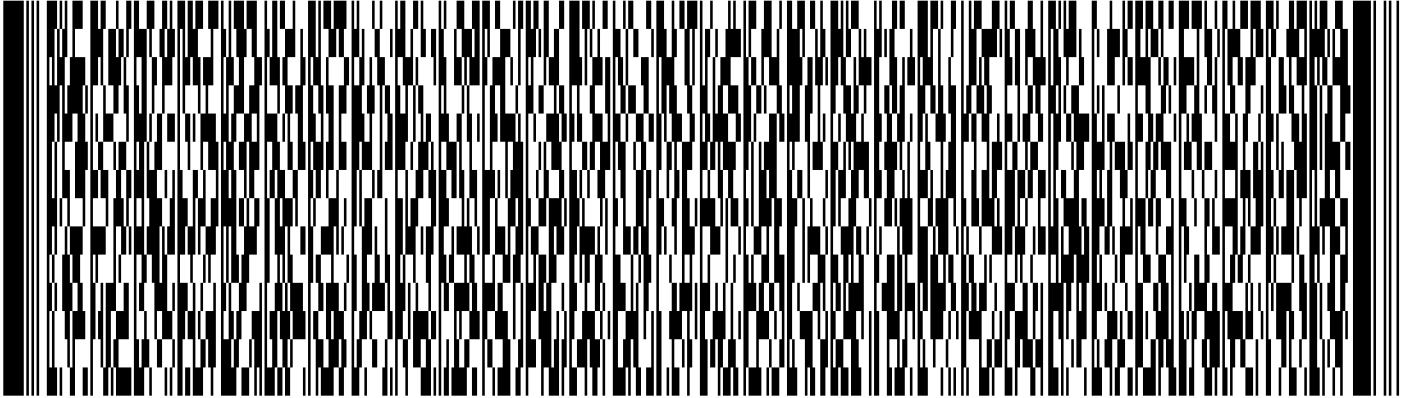
Other Page 1



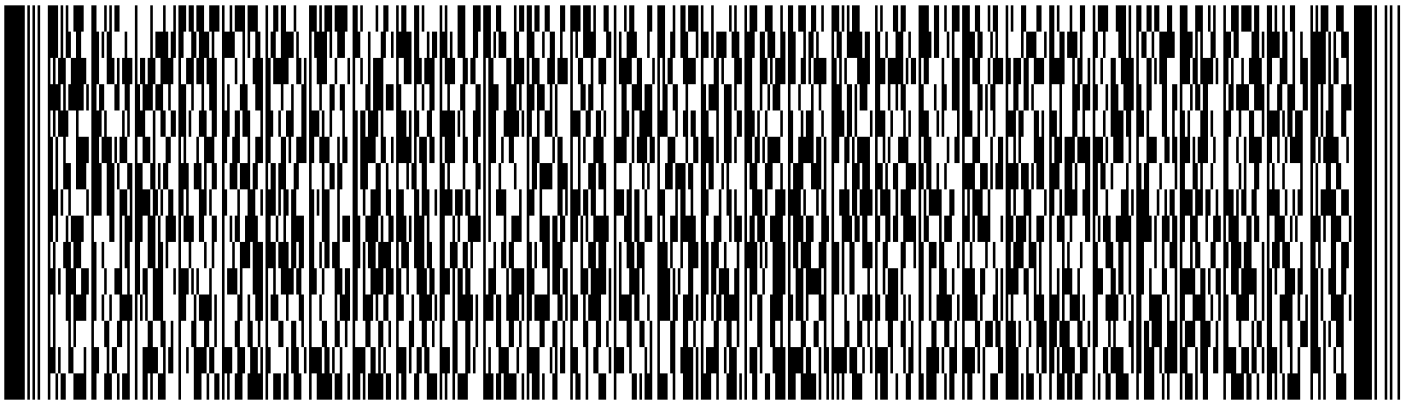
Page 2 Minus Tables



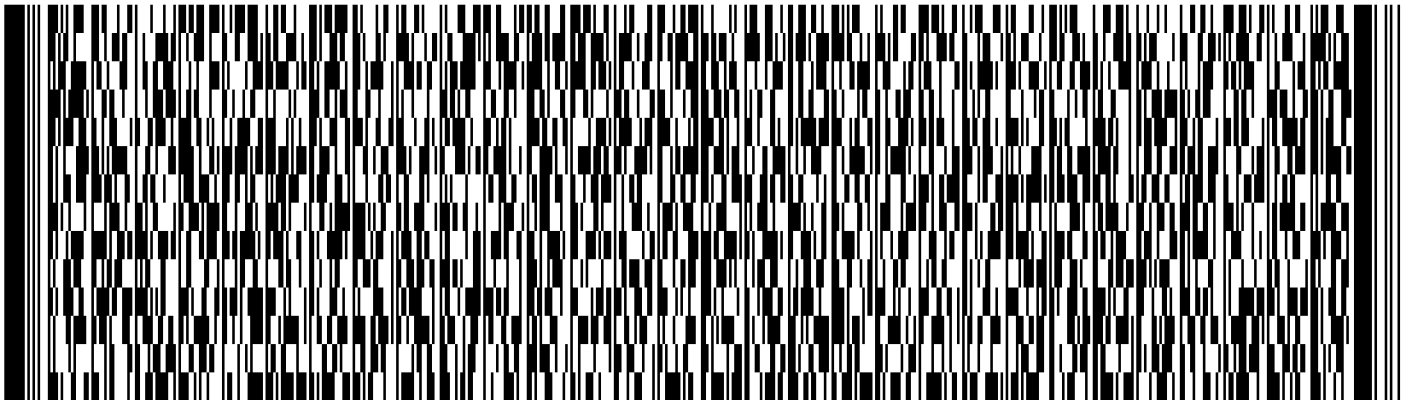
Bankruptcy Table 1-50



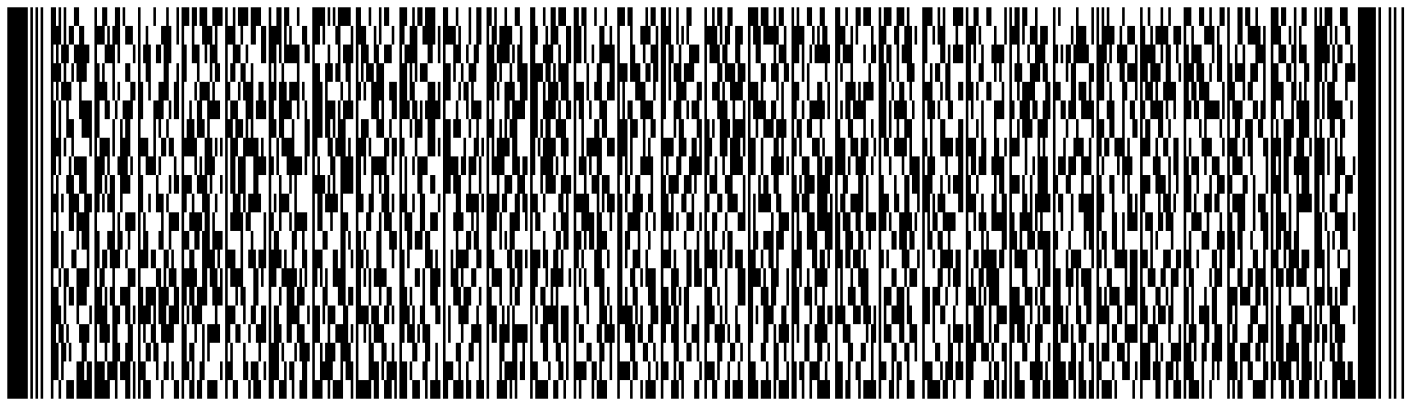
Bankruptcy Table 51-100



Non-Bankruptcy Table 1-50



Non-Bankruptcy Table 51-100



Part 3, Part 4, Last Page

Period Covered:
October 01, 2023 - October 31, 2023
Page 1 of 4

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name

19-29617
GP Rare Earth Trading Account LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$0.01	\$0.01
TRUSTEE CHECKING		\$555,757.57	\$540,069.47
Total		\$555,757.58	\$540,069.48

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$0.01
		+Total Additions	\$0.00
		-Total Subtractions	\$0.00
		Ending Balance	\$0.01

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

No activity this statement period

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	2	Beginning Balance	\$555,757.57
		+Total Additions	\$0.00
		-Total Subtractions	\$15,688.10
		Ending Balance	\$540,069.47

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Checks

Check #	Date	Amount
6004	10-03	\$2,422.96
6005	10-17	\$2,422.95



Debits

Date	Description	Subtractions
10-06	ADP PAYROLL FEES ADP FEES 231006 CCD	\$78.53
10-10	WIRE TO ANNETTE KAJA	\$2,004.87
10-10	WIRE TO ADP	\$922.58
10-11	NNT WEST BEND PA POS	\$1,639.00
10-19	WWW.ONECLICKW.I.C POS	\$328.52
10-20	ADP PAYROLL FEES ADP FEES 231020 CCD	\$148.53
10-20	AMZN Mktp US*6A3 POS	\$33.58
10-23	AMAZON.COM*XT1QH POS	\$41.99
10-24	AMZN Mktp US*I83 POS	\$347.54
10-26	WIRE TO COLLECTOR'S EDGE MINERALS	\$3,400.00
10-26	Amazon web servi POS	\$272.75
10-26	Amazon web servi POS	\$278.19
10-26	Amazon web servi POS	\$13.00
10-27	WIRE TO ADP	\$922.57
10-30	ADOBE INC. POS	\$10.54
10-31	BANK & TECH FEE	\$400.00

Daily Balances

Date	Amount	Date	Amount	Date	Amount
10-03	\$553,334.61	10-06	\$553,256.08	10-10	\$550,328.63
10-11	\$548,689.63	10-17	\$546,266.68	10-19	\$545,938.16
10-20	\$545,756.05	10-23	\$545,714.06	10-24	\$545,366.52
10-26	\$541,402.58	10-27	\$540,480.01	10-30	\$540,469.47
10-31	\$540,069.47				

CP RARE EARTH TRADING ACCOUNT LLC 3433A Valley Rd OCCONOMOC, WI 53065		Payroll Check Number: 6004 Pay Date: 09/29/2023	13256296
Pay to the order of:	KIM E MARKERT		
This amount:	TWO THOUSAND FOUR HUNDRED TWENTY TWO AND 96/100		\$2,422.96
METROPOLITAN COMMERCIAL BANK KIM E MARKERT 17750 COUNTRY LANE BROOKFIELD, WI 53045			
#6004 [REDACTED]			

10/03/2023 6004 \$2,422.96

072056299	BMO Bank N. A. 10/02/2023 #071000288X Mobile Deposit
10/03/2023 6004 \$2,422.96	

10/03/2023 6004 \$2,422.96

CP RARE EARTH TRADING ACCOUNT LLC 3433A Valley Rd OCCONOMOC, WI 53065		Payroll Check Number: 6005 Pay Date: 10/11/2023	13256295
Pay to the order of:	KIM E MARKERT		
This amount:	TWO THOUSAND FOUR HUNDRED TWENTY TWO AND 95/100		\$2,422.95
METROPOLITAN COMMERCIAL BANK KIM E MARKERT 17750 COUNTRY LANE BROOKFIELD, WI 53045			
#6005 [REDACTED]			

10/17/2023 6005 \$2,422.95

082742103	BMO Bank N. A. 10/16/2023 #071000288X Mobile Deposit
10/17/2023 6005 \$2,422.95	

10/17/2023 6005 \$2,422.95

In case of errors or questions about your account telephone Stretto at: 800.634.7734 or email us at: banking.services@stretto.com
As soon as you recognize an error, or if you need more information about a transaction listed on the statement or receipt:

Please Provide:

- Name and account number.
- A detailed explanation of why you believe there is an error or why you need more information.
- The dollar amount of the suspected error.
- We must hear from you no later than 60 days after we sent you the FIRST statement on which the error appeared.

We will investigate your disputed transactions and correct any error promptly. If not resolved within 10 business days, Metropolitan Commercial Bank will credit your account for the amount in error, and notify you within 2 business days, so that you may use the funds during the time it takes us to complete our investigation.

Period Covered:
November 01, 2023 - November 30, 2023
Page 1 of 4

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name

19-29617
GP Rare Earth Trading Account LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$0.01	\$0.01
TRUSTEE CHECKING		\$540,069.47	\$229,827.14
Total		\$540,069.48	\$229,827.15

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$0.01
		+Total Additions	\$0.00
		-Total Subtractions	\$0.00
		Ending Balance	\$0.01

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

No activity this statement period

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	2	Beginning Balance	\$540,069.47
		+Total Additions	\$0.00
		-Total Subtractions	\$310,242.33
		Ending Balance	\$229,827.14

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Checks

Check #	Date	Amount
6006	11-03	\$2,422.96
6007	11-17	\$2,422.95




Debits



Date	Description	Subtractions
11-02	Amazon web servi POS	\$278.18
11-03	IN *ELITE PROPER POS	\$661.25
11-06	Spectrum POS	\$169.98
11-08	SQ *THE SUNNYWOO POS	\$650.00
11-09	WI DFI WS2 CFI C POS	\$10.00
11-09	MALCA-AMIT USA O POS	\$1,100.00
11-10	ADP PAYROLL FEES ADP FEES 231110 CCD	\$78.53
11-10	WIRE TO ADP	\$922.58
11-10	WIRE TO Allium Fund LLC	\$300,000.00
11-17	ADP PAYROLL FEES ADP FEES 231117 CCD	\$70.00
11-20	WWW.ONECLICKWI.C POS	\$44.26
11-24	ADP PAYROLL FEES ADP FEES 231124 CCD	\$78.53
11-24	WIRE TO ADP	\$922.57
11-29	ADOBE *PHOTOGRAPH POS	\$10.54
11-30	BANK & TECH FEE	\$400.00

Daily Balances

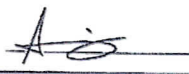
Date	Amount	Date	Amount	Date	Amount
11-02	\$539,791.29	11-03	\$536,707.08	11-06	\$536,537.10
11-08	\$535,887.10	11-09	\$534,777.10	11-10	\$233,775.99
11-17	\$231,283.04	11-20	\$231,238.78	11-24	\$230,237.68
11-29	\$230,227.14	11-30	\$229,827.14		

GP RARE EARTH TRADING ACCOUNT LLC 2624 Valley Rd Oconomowoc, WI 53066		Payroll Check Number: 6006 Pay Date: 11/03/2023	13356298
Pay to the order of: KIM E MARKERT			
The amount: TWO THOUSAND FOUR HUNDRED TWENTY TWO AND 96/100		\$2,422.96	
METROPOLITAN COMMERCIAL BANK KIM E MARKERT 17750 COUNTRY LANE BROOKFIELD, WI 53045			
#6006#			



11/03/2023 6006 \$2,422.96

D82090840	BAO Bank N. A. 11/03/2023 >071000288< Mobile Deposit	
		

11/03/2023 6006 \$2,422.96

GP RARE EARTH TRADING ACCOUNT LLC 2624 Valley Rd Oconomowoc, WI 53066		Payroll Check Number: 6007 Pay Date: 11/17/2023	13357050
Pay to the order of: KIM E MARKERT			
The amount: TWO THOUSAND FOUR HUNDRED TWENTY TWO AND 95/100		\$2,422.95	
METROPOLITAN COMMERCIAL BANK KIM E MARKERT 17750 COUNTRY LANE BROOKFIELD, WI 53045			
#6007#			

11/17/2023 6007 \$2,422.95

D90763427	BAO Bank N. A. 11/17/2023 >071000288< Mobile Deposit	
		

11/17/2023 6007 \$2,422.95

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As soon as you recognize an error, or if you need more information about a transaction listed on the statement or receipt:

Please Provide:

- Name and account number.
- A detailed explanation of why you believe there is an error or why you need more information.
- The dollar amount of the suspected error.
- We must hear from you no later than 60 days after we sent you the FIRST statement on which the error appeared.

We will investigate your disputed transactions and correct any error promptly. If not resolved within 10 business days, Metropolitan Commercial Bank will credit your account for the amount in error, and notify you within 2 business days, so that you may use the funds during the time it takes us to complete our investigation.

Period Covered:
December 01, 2023 - December 31, 2023
Page 1 of 4

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number 19-29617
Case Name GP Rare Earth Trading Account LLC
Trustee Number 690700
Trustee Name Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$0.01	\$0.01
TRUSTEE CHECKING		\$229,827.14	\$222,192.01
Total		\$229,827.15	\$222,192.02

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$0.01
		+Total Additions	\$0.00
		-Total Subtractions	\$0.00
		Ending Balance	\$0.01

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

No activity this statement period

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	2	Beginning Balance	\$229,827.14
		+Total Additions	\$0.00
		-Total Subtractions	\$7,635.13
		Ending Balance	\$222,192.01

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Checks

Check #	Date	Amount
6008	12-04	\$2,422.96
6009	12-18	\$2,422.95





Debits



Date	Description	Subtractions
12-04	Amazon web servi POS	\$272.75
12-08	ADP PAYROLL FEES ADP FEES 231208 CCD	\$78.53
12-11	WIRE TO ADP	\$922.58
12-15	WWW.ONECLICKWI.C POS	\$44.26
12-22	WIRE TO ADP	\$922.57
12-22	ADP PAYROLL FEES ADP FEES 231222 CCD	\$148.53
12-29	BANK & TECH FEE	\$400.00

Daily Balances

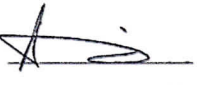

Date	Amount	Date	Amount	Date	Amount
12-04	\$227,131.43	12-08	\$227,052.90	12-11	\$226,130.32
12-15	\$226,086.06	12-18	\$223,663.11	12-22	\$222,592.01
12-29	\$222,192.01				

GP FARE EASTW TRADING ACCOUNT LLC 34134 Valley Rd DOVER, OHIO 43005		Payroll Check Number: 6008 Pay Date: 12/01/2023	12352050
Pay to the order of:	KIM E MARKERT		
The amount:	TWO THOUSANDFOUR HUNDRED TWENTY TWO AND 96/100	\$2,422.96	
METROPOLITAN COMMERCIAL BANK	KIM E MARKERT 17750 COUNTRY LANE BROOKFIELD, WI 53045		
#6008*			

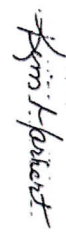

12/04/2023 6008 \$2,422.96

083047320	BMO Bank N. A. 12/01/2023 >071000286< Mobile Deposit	
		

12/04/2023 6008 \$2,422.96

GP FARE EASTW TRADING ACCOUNT LLC 34134 Valley Rd DOVER, OHIO 43005		Payroll Check Number: 6009 Pay Date: 12/15/2023	12352050
Pay to the order of:	KIM E MARKERT		
The amount:	TWO THOUSANDFOUR HUNDRED TWENTY TWO AND 95/100	\$2,422.95	
METROPOLITAN COMMERCIAL BANK	KIM E MARKERT 17750 COUNTRY LANE BROOKFIELD, WI 53045		
#6009*			

12/18/2023 6009 \$2,422.95

091261750	BMO Bank N. A. 12/15/2023 >071000286< Mobile Deposit	
		

12/18/2023 6009 \$2,422.95